

PLANMEMBER FINANCIAL

At a Glance



PlanMember Financial Corporation is a financial services firm that provides retirement plan sponsors and participants with unparalleled service and a platform of investment and annuity solutions. PlanMember is committed to helping meet the retirement needs of individual investors and employer groups nationwide.

COMPANY PROFILE

- Headquartered in Carpinteria, CA with 120 home office and field support employees
- Broker/Dealer, Registered Investment Advisor and insurance licensed in all 50 states
- More than 400 Financial Advisors and ten regionally-based Financial Center locations
- Approved in more than 2,800 school districts and nonprofit employer groups
- Offers the PlanMember Services Program, a fully integrated retirement planning, investment and annuity solution to help individuals achieve a financially secure retirement
- Three operating subsidiaries: PlanMember Securities Corporation, PlanMember Services Corporation and PlanMember Advisors Corporation
- Over 100,000 client accounts and approximately \$4 billion in broker/dealer assets

INVESTMENT SOLUTIONS

- Offers a broad range of choice including self-directed and professionally managed mutual fund portfolios and guaranteed fixed and income annuities¹ on three primary product platforms:
 - 403(b) and 457(b) Model Plan Program
 - IRA and nonqualified Investment and Savings Program
 - Retirement Income Program

INDUSTRY PERFORMANCE

- Currently a plan provider in over 2,800 school districts and employer groups nationwide
- Selected as part of OMNI Group's Preferred Provider Program (P3) in New York state
- Selected and participated in Florida IBC Model Plan as one of five approved providers in 62 counties² and as one of six core providers for Michigan Retirement Investment Consortium serving 260 school districts and more than 100,000 employees²
- Selected provider for Clark County (NV), Los Angeles Unified and Baltimore City Schools
- Industry Partner of NTSAA/ASPPA since 1997

CORPORATE OFFICE

6187 Carpinteria Ave.
Carpinteria, CA 93013
(800) 874-6910
www.planmember.com

PARTICIPANT & ADVISOR SERVICE CENTER

6:00am - 5:00pm Pacific Standard Time

COMPANY MILESTONES

- 1992 Company Founded
- 1997 Topped \$1 Billion in Assets
- 2008 Launched Insurance Business Line
- 2009 Opened first PlanMember Financial Center
- 2010 Acquired Scarborough Alliance Group
Topped \$2 Billion in assets
- 2011 Established 10 Financial Center Locations



“Through our Partnership for Success Program, PlanMember offers employer groups and plan participants state-of-the-art retirement investments through a nationwide network of trusted Financial Advisors and regionally-based Financial Centers.”

Jon Ziehl
President, CEO and Company Founder



PlanMember Financial Corporation
Planning your future...today.®

1. Guarantees and benefits are based on the claims-paying ability of the underlying insurance company.

2. Selection criteria included investment options, participant services, expenses, company experience, conversion/implementation and account administration services.

Before investing, carefully read the prospectus(es) or summary prospectus(es) which contains information about investment objectives, risks, charges, expenses and other information all of which should be carefully considered before investing. For current prospectus(es) call (800) 874-6910. Investing involves risk. The investment return and principal value will fluctuate and, when redeemed, the investment may be worth more or less than the original purchase price.

Asset Allocation or the use of an investment advisor does not ensure a profit nor guarantee against loss.

Representatives registered with and securities and advisory services offered through PlanMember Securities Corporation

A registered broker/dealer, investment advisor and member FINRA/SIPC

PlanMember Financial Corporation • 6187 Carpinteria Avenue • Carpinteria, CA 93013 • 800-874-6910